

DISADVANTAGED BUSINESS ENTERPRISE  
ANNUAL AFFIDAVIT

FIRM NAME: \_\_\_\_\_

OWNER'S NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

TELEPHONE NUMBER: \_\_\_\_\_ FAX NUMBER: \_\_\_\_\_

FEDERAL TAX ID NUMBER: \_\_\_\_\_

• GROSS RECEIPTS FOR PREVIOUS YEAR: \_\_\_\_\_  
An entire copy of your firm's federal income tax return for last year must be provided.

• OUT OF STATE FIRMS MUST FURNISH A COPY OF THE MOST RECENT HOME STATE DEPARTMENT OF TRANSPORTATION CERTIFICATION

• PLEASE EXPLAIN ANY CHANGES WHICH MAY HAVE OCCURRED REGARDING THE FIRM'S OWNERSHIP, CONTROL, SIZE OR DISADVANTAGED STATUS:  
(Supportive evidence must be provided to document any changes listed below)

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Submissions pursuant to 49 CFR § 26.83(j).

No Change Affidavit

I \_\_\_\_\_, swear<sup>1</sup> (or affirm) that there have been no changes in \_\_\_\_\_ circumstances affecting its ability to meet the size, disadvantaged status, ownership, or control requirements of 49CFR Part 26 and 13 CFR Part 121. I swear (or affirm) there have been no material changes in the information provided with \_\_\_\_\_ application for certification, except for any changes about which I have provided written notice to \_\_\_\_\_ pursuant to 49 CFR § 26.83(i).

I swear (or affirm) that I am socially disadvantaged because I have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of my identity as a member of one or more of the groups identified in 49 CFR § 26.5, without regard to my individual qualities. I further swear (or affirm) that my personal net worth does not exceed \$1.32 Million, and that I am economically disadvantaged because my ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged.

I specifically swear (or affirm) \_\_\_\_\_ continues to meet the Small Business Administration(SBA) business size criteria and the overall gross receipts cap of 49 CFR Part 26 and \_\_\_\_\_ average annual gross receipts (as defined by SBA rules) over the previous three fiscal years do not exceed \_\_\_\_\_. I provide the attached size and gross receipts documentation to support this affidavit.

*Signature*

*Date*

On this day of \_\_\_\_\_, \_\_\_\_\_, before me appeared (name) \_\_\_\_\_, to me personally known, who, being duly sworn, did execute the foregoing affidavit and did state that he or she was properly authorized by (name of firm) \_\_\_\_\_, to execute the affidavit and did so as his or her free act and deed.

(SEAL/STAMP) Notary Public \_\_\_\_\_ Commission Expires \_\_\_\_\_

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Knowingly and willfully providing false information to the Federal government is a violation of 18 U.S.C. Section 1001 (False Statements) and could subject you to fines, imprisonment or both.